



Send to: 500 KING NORTH  
WATERLOO ON  
N2J 4C6

# Confirmation of Compliance by Financial Institutions Receiving Locked-in Pension Funds

## THIS SECTION TO BE COMPLETED BY THE FINANCIAL INSTITUTION RECEIVING THE FUNDS AND RETURNED TO THE CURRENT FINANCIAL INSTITUTION

CLIENT'S NAME	APPLICATION / POLICY NUMBER
CLIENT HAS REQUESTED FUNDS BE TRANSFERRED TO A :	
<input type="checkbox"/> LOCKED-IN REGISTERED RETIREMENT SAVINGS PLAN (RRSP)	<input type="checkbox"/> LIFE INCOME FUND (LIF)
<input type="checkbox"/> SINGLE PREMIUM IMMEDIATE ANNUITY (SPIA)	<input type="checkbox"/> LOCKED-IN RETIREMENT INCOME FUND (LRIF)
<input type="checkbox"/> LOCKED-IN RETIREMENT ACCOUNT (LIRA )	

I, \_\_\_\_\_, an authorized representative of \_\_\_\_\_  
(please print name)

hereby certify that these locked-in funds:

1. Cannot be assigned or commuted;
2. Will only be available in the form of a life annuity, Life Income Fund (LIF), or Locked-in Retirement Income Fund (LRIF), if applicable; and
3. Will be administered in accordance with the Applicable Pension Benefits Legislation and Regulations (Jurisdiction specified below).

SIGNATURE OF AUTHORIZED REPRESENTATIVE	BRANCH	DATE SIGNED
		DD   MMM   YYYY

## THIS SECTION TO BE COMPLETED BY THE CURRENT FINANCIAL INSTITUTION AND RETURNED ALONG WITH THE CHEQUE

NAME OF TRANSFEROR FINANCIAL INSTITUTION	CLIENT'S NAME	POLICY / ACCOUNT NUMBER
TOTAL TRANSFER AMOUNT	NON LOCKED-IN AMOUNT	LOCKED-IN AMOUNT
\$ _____	\$ _____	\$ _____

### APPLICABLE JURISDICTION, CHECK (✓) ONE :

<input type="checkbox"/> ALBERTA	<input type="checkbox"/> MANITOBA	<input type="checkbox"/> P.E.I.	<input type="checkbox"/> SASKATCHEWAN
<input type="checkbox"/> BRITISH COLUMBIA	<input type="checkbox"/> NEWFOUNDLAND	<input type="checkbox"/> QUEBEC	<input type="checkbox"/> FEDERAL (PBSA) (including YUKON & N.W.T.)
<input type="checkbox"/> ONTARIO	PRE JAN 1/87 LOCKED-IN FUNDS \$ _____	POST DEC 31/86 LOCKED-IN FUNDS \$ _____	
<input type="checkbox"/> NEW BRUNSWICK	PRE DEC 31/91 LOCKED-IN FUNDS \$ _____	POST DEC 30/91 LOCKED-IN FUNDS \$ _____	
<input type="checkbox"/> NOVA SCOTIA	PRE JAN 1/88 LOCKED-IN FUNDS \$ _____	POST DEC 31/87 LOCKED-IN FUNDS \$ _____	
<input type="checkbox"/> CONTRACTUALLY LOCKED-IN INDICATE PERMITTED SETTLEMENT OPTIONS HERE:			

### APPLICABLE ANNUITY RATES, CHECK (✓) ONE:

<input type="checkbox"/> ALL FUNDS ARE UNISEX	<input type="checkbox"/> ALL FUNDS ARE SEX-DISTINCT	<input type="checkbox"/> PRE-FUNDS ARE SEX-DISTINCT, POST-FUNDS ARE UNISEX
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DATE FUNDS WITHDRAWN FROM PENSION PLAN (if known)	AUTHORIZED BY (please print)	AUTHORIZED SIGNATURE	DATE SIGNED
DD   MMM   YYYY			DD   MMM   YYYY